

LSW News

LSW...Integrity You Can Retire On!

November 26,
2008

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Rule 151 Update

The status of proposed SEC Rule 151 remains unchanged with the closing of the extended comment period. Despite many senators, representatives, insurance commissioners, and other officials voicing their opposition to the rule, the SEC has given no further indication as to how they will proceed. Most recently the National Conference of Insurance Legislation ("NCOIL") has passed a unanimous resolution in opposition to the rule, and the NAIC has formally voiced their opposition to the SEC. You can review NCOIL and the NAIC comments at www.ncoil.org and www.naic.org.

The Wisconsin Insurance Commissioner, who also is the Vice-Chair of the NAIC, has recently prognosticated that the SEC will act to adopt Rule 151A. These comments have received much publicity, but are mere speculation on his part. LSW is actively monitoring all aspects of the Rule 151A issue and continues to work with industry groups and outside legal counsel, to put pressure on the SEC to withdraw this proposed rule.

We will keep you informed of any further developments as they occur.



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National Life Group

SCHEDULE

REMINDER: In observance of the Thanksgiving holiday, LSW's offices will be closed on Thursday, November 27th. Please note that on Friday, November 28, while the LSW offices will be open, phone services will not be available. Phone services will resume on Monday, December 1 at 8 a.m. CST.

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